

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 06618-736001	Application No. Unknown
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Ming Cai and Kerry J. Vahala	
		Filing Date Herewith	Group Art Unit

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11/89/81

U.S. Patent Documents							
Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA						
	AB						
	AC						
	AD						
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	AH						
	AI						
	AJ						
	AK						

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							

Other Documents (Include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
MM	AQ	Knight, et al., "Optics Letters", Vol. 22 pp.1129-1131 (1997)
MM	AR	Cai et al., "Fiber-Optic Add-Drop Device Based on A Silica Microsphere-Whispering Gallery Mode System", IEEE Photon. Technol. Lett., Vol. 11(6), pp. 686-687 (1999)
	AS	
	AT	

Examiner Signature <i>Ming Cai</i>	Date Considered 3.7.03
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

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